

HEARINGS

DAVID M. WALKER
NOMINEE QUESTIONNAIRE
SENATE GOVERNMENTAL AFFAIRS COMMITTEE
OCTOBER 5,1998

A. BIOGRAPHICAL INFORMATION

1. **Name:** David Michael Walker
2. **Position:** Comptroller General of the United States
3. **Date of the Nomination:** October 5,1998
4. **Address:** 997 Peachtree Battle Avenue, Atlanta, Georgia, 30327 (Home) Arthur Andersen LLP, 225 Peachtree St. NE, Suite 1800, Atlanta, Georgia, 30303-1731 (Office)
5. **Date and place of birth:** October 2,1951; Birmingham, Alabama
6. **Marital status:** Married to the former Mary Etheredge of Jacksonville, Florida for over 27 years.
7. **Names and Ages of Children:** Carol Marie Walker Williamson (24) and James Andrew Walker (21)
8. **Education:** B.S. in Accounting, Jacksonville University (5/73) S.M.G. in Public Policy, JFK School, Harvard University (8/86)*

* - Not a degree

Numerous professional education and credentialing programs involving accounting, finance, investments, human resources, information technology, legal, management, negotiations, strategic planning, fiduciary, governance and other topics.

9. **Employment record (Employer, dates, most recent city and primary position):** Arthur Andersen LLP (7/ 89-Present), Atlanta, GA, Partner - U.S./ Americas Managing Director, Human Capital Services Practice; U.S. Department of Labor (8/ 85-6/ 89), Washington, DC, Assistant Secretary of Labor for Pension and Welfare Benefit Programs; Pension Benefit Guaranty Corporation (10/ 83-8/ 85), Washington, DC, Acting Executive Director and Chief Negotiator; Source Services Corporation (7/79-10/83), Washington, DC, Eastern Regional Manager; Coopers & Lybrand

(8/75-7/79), Houston, TX, Regional Director of Practice Management; Price Waterhouse & Co. (5/73-8/75), Jacksonville, FL, Exp. Auditor.

10. **Government experience:** Public Trustee of the Social Security and Medicare Trust Funds (10/90-5/95); Co-Chairman of the Mayor's Pension Review Task Force, City of Atlanta, GA (1994); Vice-Chairman of the Secretary of Labor's ERISA Advisory Council (1989-1992); Assistant Secretary of Labor for Pension and Welfare Benefit Programs (1987-1989), Deputy Assistant Secretary of Labor for Pension and Welfare Benefit Programs (1985-1987); Acting Executive Director of the Pension Benefit Guaranty Corporation (1985) and, Deputy Executive Director and Chief Negotiator of the Pension Benefit Guaranty Corporation (1983-1985).

11. **Business relationships:** None

12. **Memberships:** Arthur Andersen Financial Advisors (Board of Directors); Association of Private Pension and Welfare Plans (Executive Committee and Board of Directors); Womens' Institute for a Secure Retirement (Board of Directors); American Institute of Certified Public Accountants (Past Committee Chairman), several state societies/institutes of CPA's; Council for Excellence in Government; Kiwanis International (Past Vice-President); Southern Employee Benefits Conference (Past Committee Vice-Chairman); International Foundation of Employee Benefit Plans; National Academy of Social Insurance; the Concord Coalition; the International Platform Association; and, the Carter Center. I have also served on a number of national commissions and task forces addressing a variety of retirement security and related issues. Examples include the Committee for Economic Development's (CED's) Private Pension and Social Security Reform Task Forces, the Milbank Memorial Fund's Task Force on Pension Portability for State and Local Governments, the Center for Strategic and International Studies' (CSIS') National Commission on Retirement Policy and various other Congressional, governmental, not-for-profit and private sector task forces or committees addressing a range of retirement and health security reform issues (e.g., women's pension equity, pension education and assistance initiatives, negotiated rule making).

13. **Political affiliations and activities:**

a. Offices held - Republican Executive Committee - Duval County, Florida (1975-1976); Democratic Executive Committee - Duval County, Florida (1974-1975).

b. Memberships and services rendered (Past five years) - Republican National Committee and various related organizations - Campaign Council, Presidential Task Force, Senatorial Committee and Congressional Committee; Georgia Republican Party; Virginia Republican Party - AU memberships. I have not held any offices or rendered any services to political organizations during the past ten years. **c. Political contributions** (Est. totals for the past five years) - Republican National Committee and various related organizations - Campaign Council, Presidential Task Force, Senatorial Committee, Congressional Committee, Candidate Trust (\$6,750); Republican Party of Georgia (\$500); Republican Party of Virginia (\$100); Sen. Paul Coverdell, R-GA (\$2,750); Dole for President, R-KS (\$1,000); Alexander for President, R-TN (\$500); Dave Baker for PSC, R-GA (\$500); Guy Millner for Governor, R-GA (\$250); Cong. John Linder, R-GA (\$500); Sen. Don Nickles, R-OK (\$250); Cong. Earl Pomeroy, D-ND (\$500); Atlanta

Mayor Bill Campbell, D-GA (\$650); Sen. Bob Kerrey, D-NE (\$250); Sen. John Breaux, D-LA (\$250); Sen. Judd Gregg, R-NH (\$250); Cong. Charlie Stenholm, D-TX (\$250); Cong. Jim Kolbe, R-AZ (\$250); Sen. Bob Graham, D-FL (\$500); Arthur Andersen PAC, D/R (\$2,@,@0); Arthur Andersen,. Atlanta Office Candidates Action Fund, D/R (\$750).

Importantly, I have not made any political contributions to any political organizations or candidates since October 1997. As Comptroller General, I would refrain from involvement in or contributions to any political party or candidates.

14. **Honors and awards:** Who's Who in the World (Publication pending); Who's Who in America; Who's Who of Emerging Leaders in America; Who's Who in Finance and Industry; Who's Who in the East; Who's Who in the South; Notable Personalities in America; American Biographical Institute's Presidential Seal of Honor; Pension World Public Policy Award; Council on Employee Benefits - Most Outstanding Government Official Award; and, Secretary of Labor's Outstanding Achievement Award.

I also frequently receive invitations from a number of organizations and groups to participate in various invitation-only conferences and events, including the Renaissance Weekend program.

15. **Published writings:** Retirement Security - Understanding and Planning Your Financial Future (John Wiley & Sons - 10/96); Delivering on the Promise Attracting, Managing and Retaining Human Capital (The Free Press - 10/98); HR Director - The Arthur Andersen Guide to Human Capital (Profile Pursuit - 1997 and 1998); Audits of Employee Benefit Plans training course (AICPA-annually since 1990) and, numerous articles on pensions, employee benefits, investments, retirement security/planning, fiduciary, governance, accounting, auditing, human capital and other matters.

16. **Speeches:** I am a frequent speaker on a variety of pension, employee benefits, **investments**, retirement security/ planning, fiduciary, governance, accounting, auditing, human capital and other matters. I do not believe that any of these present a conflict or problem in connection with my serving as Comptroller General.

17. **Selection:**

a. I have been advised by several White House officials that the President and his advisors felt that I was the best qualified candidate of those referred by the Congress for appointment as Comptroller General.

b. I have a broad range of functional and leadership experience in both the public and private sectors. I have successfully served as head of two federal agencies, which like the GAO, were comprised of a significant number of multi-disciplinary professionals (e.g., attorneys, CPAs, economists, actuaries, social scientists, statisticians). I have relevant professional credentials (e.g., CPA) and a track record of making a positive difference in the public and private sector organizations for which I have held leadership responsibilities. I have a proven track record of being able to address difficult operational and policy issues in a professional, objective and non-partisan manner (e.g., Public Trustee of Social Security and Medicare, CSIS National Retirement Policy Commission).

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